

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>	Attorney Docket No.	3561.1000-007
	First Named Inventor or Application Identifier	Dale M. Edgar
	Express Mail Label No.	EV 215729913 US

Title of Invention	CNS Target Modulators
-----------------------	-----------------------

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
---	--

1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <b>Total Pages 121</b> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets 6</b> <input type="checkbox"/> Fig. of the Drawings for Publication <input type="checkbox"/> <input checked="" type="checkbox"/> No Figure to be Published  4. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages 6</b> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy)     Pages c. <input type="checkbox"/> Statements verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td>           7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)                <input checked="" type="checkbox"/> Assignee - Hypnion, Inc.                    Worcester, MA 01605             8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement             9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>             10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations             11. <input type="checkbox"/> Preliminary Amendment             12. <input checked="" type="checkbox"/> Return Receipt Postcard             13. <input type="checkbox"/> Small Entity Statement(s)             14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365             14b. <input type="checkbox"/> Certified Copy of Priority Document(s)             15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>             16. <input checked="" type="checkbox"/> Other Remarks/Change of Address; Revocation of Powers                of Attorney and Appointment of New Attorneys                and/or Agents; Statement Under 37 C.F.R. §3.73(b);                and Assignment.         </td> </tr> </table>	<b>ACCOMPANYING APPLICATION PARTS</b>	7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Hypnion, Inc. Worcester, MA 01605  8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement  9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>  10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  11. <input type="checkbox"/> Preliminary Amendment  12. <input checked="" type="checkbox"/> Return Receipt Postcard  13. <input type="checkbox"/> Small Entity Statement(s)  14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365  14b. <input type="checkbox"/> Certified Copy of Priority Document(s)  15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>  16. <input checked="" type="checkbox"/> Other Remarks/Change of Address; Revocation of Powers of Attorney and Appointment of New Attorneys and/or Agents; Statement Under 37 C.F.R. §3.73(b); and Assignment.
<b>ACCOMPANYING APPLICATION PARTS</b>			
7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Hypnion, Inc. Worcester, MA 01605  8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement  9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>  10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  11. <input type="checkbox"/> Preliminary Amendment  12. <input checked="" type="checkbox"/> Return Receipt Postcard  13. <input type="checkbox"/> Small Entity Statement(s)  14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365  14b. <input type="checkbox"/> Certified Copy of Priority Document(s)  15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>  16. <input checked="" type="checkbox"/> Other Remarks/Change of Address; Revocation of Powers of Attorney and Appointment of New Attorneys and/or Agents; Statement Under 37 C.F.R. §3.73(b); and Assignment.			

17. If a <b>CONTINUING APPLICATION</b> , check appropriate box; supply the requisite information.  <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: 10/272,510  Prior application information:    Examiner: Not assigned    Group Art Unit: 1614  <b>The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.</b> <i>(Add standard Related Applications section with incorporation by reference to specification or update same)</i>	
--	--

<b>18. CORRESPONDENCE ADDRESS</b>					
NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature		Date	December 4 2005
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652

16834 U.S. PTO  
10/728340

120403

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	3561.1000-007	
	Application Number		
	First Named Inventor	Dale M. Edgar	

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	17 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	1 - 3** =	0	x \$ 86 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 290 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 770
	Total of above Calculations =				\$ 770
	Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =				\$
	TOTAL =				\$ 770
	Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =				\$
	Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =				\$
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$
	[Other]				\$
	* Reissue claims in excess of 20 and over original patent				
	** Reissue independent claims over original patent				
	TOTAL =				\$ 770

1. Small entity status:

a. ☐ A small entity statement is enclosed.

b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

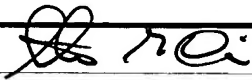
c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$770. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	December 4 2003
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652

#437619  
SGD/KKA/ead  
12/02/03

PATENT APPLICATION  
Docket No.: 3561.1000-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dale M. Edgar, David G. Hangauer, Harry Jefferson Leighton, and  
Emmanuel J. M. Mignot

Continuation Application of  
Application No.: 10/272,510  
Filed: October 16, 2002

For: CNS Target Modulators

Date: 12/4/03

**EXPRESS MAIL LABEL NO. EV 215729913 US**

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number  
10/272,510 filed on October 16, 2002 to which priority is claimed under 35 U.S.C. §120.

The related applications paragraph has been revised to include a specific reference  
to the parent application. The specification has been revised to incorporate amendments  
entered in the parent application. No new matter has been added.